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## *Composition, diversity and tree structure in a cloud forest above 2100 masl in Peru*

*Composición, diversidad y estructura arbórea en un bosque de neblina sobre 2 100 msnm en el Perú*

*Composição, diversidade e estrutura de árvores em uma floresta nublada acima de 2 100 m de altura no Peru*

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### ABSTRACT

Tropical montane cloud forests in northern Peru are severely fragmented and degraded by land use change with the consequent loss of important plant biodiversity. This research had the objective of analyzing the composition, diversity and structure of the arboreal vegetation in an area of tropical montane cloud forest above 2100 m a.s.l in northern Peru. In a 1-hectare permanent plot, all trees with diameter over 10 cm were marked and recorded. Diversity, floristic composition and structure of a relict cloud forest were analyzed. A total of 792 individuals of 81 species, 48 genera and 33 families were recorded. The most species-rich



families were Lauraceae (25 species), Euphorbiaceae (five species), Melastomataceae, Clusiaceae and Rubiaceae (with 4 species each). *Cyathea sp.* and *Miconia punctata* were the most abundant and frequent species. These results show the high ecological value of the forest studied from the point of view of conservation.

**Keywords:** Montane, cloud, diversity, floristic structure.

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## RESUMEN

Los bosques de neblina montanos tropicales en el norte del Perú, son severamente fragmentados y degradados por el cambio de uso de la tierra con la consecuente pérdida de importante biodiversidad vegetal. Esta investigación tuvo el objetivo de analizar la composición, diversidad y estructura de la vegetación arbórea en un área de bosque de neblina montano tropical sobre 2 100 m s.n.m en el norte del Perú. En una parcela permanente de una 1 hectárea se marcaron y registraron todos los árboles con diámetros mayores de 10 cm. Se analizó la diversidad, composición florística y estructura de un relicto de bosque neblina. Se registró un total de 792 individuos de 81 especies, 48 géneros y 33 familias. Las familias más ricas en especies fueron Lauraceae (25 especies), Euphorbiaceae (cinco especies), Melastomataceae, Clusiaceae y Rubiaceae (con 4 especies cada una). *Cyathea sp1.* y *Miconia punctata* fueron las especies más abundantes y frecuentes. Estos resultados evidencian el alto valor ecológico del bosque estudiado desde el punto de vista de la conservación.

**Palabras clave:** Montano, neblina, diversidad, florística, estructura.

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## RESUMO

As florestas tropicais montanas no norte do Peru estão severamente fragmentadas e degradadas pela mudança do uso do solo com a conseqüente perda de importante biodiversidade vegetal. Esta pesquisa teve como objetivo analisar a composição, diversidade e estrutura da vegetação arbórea em uma área de floresta tropical montana



acima de 2 100 m a.s.l. no norte do Peru. Em um terreno permanente de 1 hectare, todas as árvores com diâmetro superior a 10 cm foram marcadas e registradas. A diversidade, composição florística e estrutura de uma floresta nublada foram analisadas. Um total de 792 indivíduos de 81 espécies, 48 gêneros e 33 famílias foram registrados. As famílias mais ricas em espécies foram Lauraceae (25 espécies), Euphorbiaceae (cinco espécies), Melastomataceae, Clusiaceae e Rubiaceae (com 4 espécies cada). *Cyathea* sp1. e *Miconia punctata* foram as espécies mais abundantes e freqüentes. Estes resultados mostram o alto valor ecológico da floresta estudada do ponto de vista da conservação.

**Palavras-chave:** Montana, floresta nublada, diversidade, florística, estrutura.

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## INTRODUCTION

Tropical montane cloud forests are ecosystems of high importance in the world, covering around 380,000 km<sup>2</sup>, which represents 2.5 % of known tropical forests (Rubb *et al.*, 2004), this importance is given by its exceptional diversity of flora that they house by demonstrating a high richness of species per area unit, being an important source of endemism, thus they also behave as efficient ecosystems in terms of ecosystem services such as carbon sequestration (Álvarez-Arteaga *et al.*, 2013; Eller *et al.*, 2020; Knowles *et al.*, 2020) both in its tree biomass and mainly in the soil, being surpassed only by high Andean ecosystems (páramos-puna) with a high concentration of organic carbon (Dilas-Jiménez y Huamán Jiménez, 2020; Zimmermann *et al.*, 2010). However, these forests are located in the most sensitive areas with climatic anomalies, unlike other terrestrial ecosystems in the world (Seddon *et al.*, 2016) and are threatened by the effects of climate change and are mainly fragmented and degraded with change of land use (Moreira *et al.*, 2021).

In Peru, tropical montane mist forests are located mostly from 1,000 to 3,000 masl (Van de Weg *et al.*, 2014), in the northern Peruvian zone they are mainly located in the regions of Cajamarca, Piura and Amazonas, regions with a wide floristic diversity of around 17,000 species, 800 of these endemic (Sagástegui *et al.*, 2003).



In the Cajamarca region, tropical montane cloud forests are located mainly in the territories of the provinces of Jaén and San Ignacio (MINAM, 2014). Since they house timber species with high demand such as *Retrophyllum rospigliosii*, *Prumnopitys harmsiana* (podocarpaceae) are suffering from selective logging, and are also threatened by high rates of deforestation in the area around 4000 hectares/year (Llerena *et al.*, 2010). However, there is little information on ecological and hydroecological aspects provided by the tree flora in these types of forests, in general, there are very few studies carried out and published for this type of forests in northern Peru (Sagástegui *et al.*, 2003; Seddon *et al.*, 2016).

In Peru, studies on the diversity of the tree component are promoted, mainly in the Amazon Forest, based on sampling plots with a minimum size of one hectare (contiguous area) and standardized methodologies (Marcelo-Peña y Reynel, 2014). However, for the cloud forests in the northern zone there are very few records of published studies including one carried out by Peña y Pariente (2015) in a cloud forest in the province of San Ignacio, Cajamarca. This area is located at an altitude of 2 150 m a.s.l, 308 individuals are recorded and distributed in 31 families. There are 30 genera and 39 species, the five families with the highest importance value index being, in descending order, Podocarpaceae, Lauraceae, Rubiaceae, Melastomataceae and Clusiaceae. Likewise, Marcelo-Peña y Arroyo (2013) in a study carried out in a cloud forest in the province of Jaén, Cajamarca, over 2100 meters above sea level, recorded 2 new species for science of the Magnoliaceae family, *Magnolia jaenensis*, whose first collections were made with this study, and *M. manguillo*.

Given this, the present study had the objective of analyzing the composition, diversity and structure of the arboreal vegetation in an area of tropical montane cloud forest above 2100 masl in northern Peru.

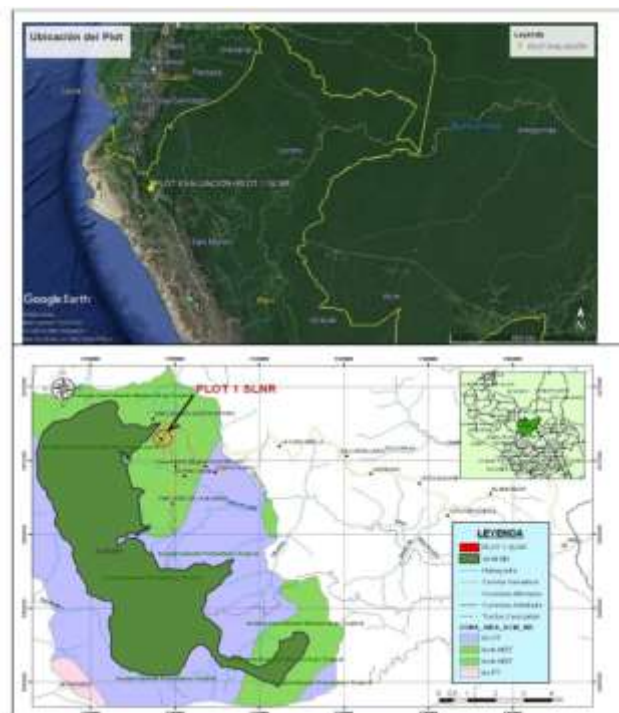


## MATERIALS AND METHODS

### *Study area*

The research was carried out in a relict area of tropical montane cloud forest, at an average altitude of 2 170 m a.s.l, located in the Cajamarca region, Jaén province, Huabal district, in the San Luis del Nuevo Retiro settlement, located in the UTM coordinates 0728564 east and 9372902 north, zone 17M (Datum WGS 84). The site is located in the buffer zone of the Bosques de Huamantanga Municipal Conservation Area (ACM-BH).

The study area, following the criteria of Life Zones (Holdridge, 1978), belongs to a very humid forest-Montano Bajo Tropical (bmh-MBT), with steep slopes and undulating physiography, the temperatures range from 12° C to 17° C, and annual rainfall between 1 900 mm and 3 800 mm. The soils are characterized by being acid and abundant organic matter (IKOSlab, 2013 cited by Peña y Pariente, 2015) (Figure 1).



**Figure 1.** - Top: location of the permanent plot in northern Peru. Bottom: Location of the plot in the province of Jaén, Cajamarca



### Installation of the evaluation plot

For the installation of the permanent plot, the methodology used by various authors (RAINFOR, 2016; Synnott, 1979). The selection of the site for the installation was a remnant of privately owned forest in order to safeguard the conservation of the plot for future remeasurements, as well as its state of conservation in terms of existing flora. The location of the plot, the tagging and registration of trees greater than or equal to 10 cm in diameter at breast height (DBH), as well as the first botanical collections were carried out in the first half of 2008 (Figure 2).

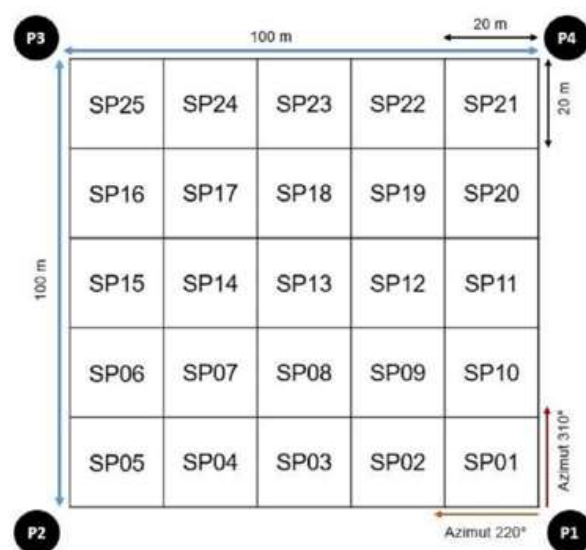


Figure 2. - Installation and distribution of the subplots within the permanent plot

### Botanical collection and record of information

The botanical collection was developed in 2 moments, the first collection was carried out in the first semester of 2008 and the second collection, in the second semester of the same year, this mainly for the samples that were collected as sterile in the first collection, in order to facilitate identification work. This work was carried out using the standard equipment, as well as the materials and procedures recommended for this type of work (Rodríguez y Rojas, 2006). For the herbalization of the collected samples, a standardized methodology was followed in these cases (Rodríguez y Rojas, 2006; Rotta *et al.*, 2008). The collected



specimens were pressed, after preservation with a solution of 60 % water and 40 % 96° alcohol, and transferred from the field to the city of Jaén, where the drying and sample mounting procedures were carried out (Marcelo-Peña *et al.*, 2011; Rotta *et al.*, 2008). The collected, dried and mounted specimens were sent to the MOL Herbarium of the Universidad Nacional Agraria La Molina for their taxonomic identification.

### *Management and analysis of information*

For the orderly management of data, in the field each individual registered within the permanent plot was coded with aluminum plates, for which 6-digit numerical codes were used, this being the collection code. For example, code 108220, reading from left to right, the first number indicates the Plot number (Plot 1), the next two numbers indicate the subplot number within the permanent plot (subplot 08) and finally the last three numbers indicate the number of the individual (individual 220).

In order to know the effectiveness of the sampling, in the measurement of individuals carried out by subplot, the number of species that increased as the number of subplots analyzed was counted, with which an area-species curve graph was elaborated (Matteucci y Colma, 1982; Chu *et al.* 2014).

With the taxonomic identification information received from the MOL Herbarium, the following variables were analyzed (Antón y Reynel, 2004; Matteucci y Colma, 1982):

*Diversity*: the number of individuals per ha, number of families, number of species was determined. Likewise, the following diversity indices were determined (Equation 1); (Equation 2); (Equation 3) and (Equation 4):

Specific richness (S):  $S = \text{número de especies}$  (1)

Simpson dominance index (D):  $D = \sum \left( \frac{n_i(n_i-1)}{N(N-1)} \right)$  (2)

Simpson Diversity Index (1-D, Simpson, 1949):  $1 - \sum \left( \frac{n_i(n_i-1)}{N(N-1)} \right)$  (3)





Shannon-Wiener Equity Index (H, Hill, 1973):  $H = -1 \sum_{i=1}^S p_i * \ln \ln (p_i)$  (4)

*Composition:* the most abundant families and genera, endemic and rare species were determined.

*Dasometric variables:* basal area (m<sup>2</sup>) and total height (m) were determined.

*Structure of the vegetation:* the Density or Abundance, the Frequency and the Dominance of the identified species were calculated. With these three data, the Importance Value Index (IVI) of the total species was obtained. This index makes it possible to measure how species contribute to the structure of an ecosystem (Cottam and Curtis, 1956; Ragavan *et al.*, 2015).

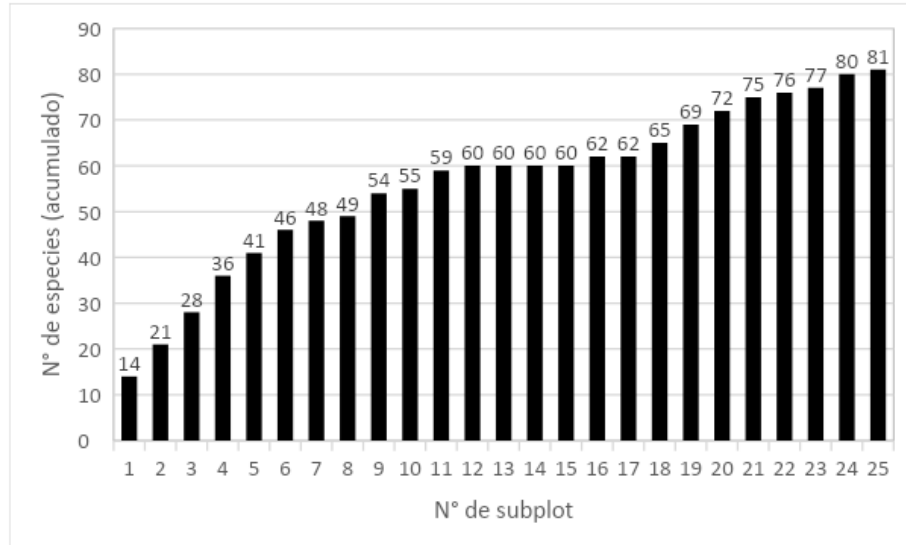
## RESULTS AND DISCUSSION

### *Floristic composition*

A total of 792 individuals were registered for the 1ha permanent plot, belonging to 81 species of 48 genera and 33 families. The mixing quotient in this study is 0.10 (81/792), meaning that there are about 10 individuals for each species. This result is similar to those found in studies in the central jungle of Peru (Marcelo-Peña and Reynel, 2014), but lower than other studies carried out in previous years in these same areas where the mixing ratios were around 0.22 (Antón and Reynel, 2004). The low mixing coefficient found would be linked to the high proportion of individuals of two *Cyathea sp.* and *Miconia punctata* that represented 48 % of the total.

In the analysis of the area-species curve, of the total species registered (81), it was found that 67 % of these were completed in subplot 9 and 85 % when completing subplot 19 (Figure 3).

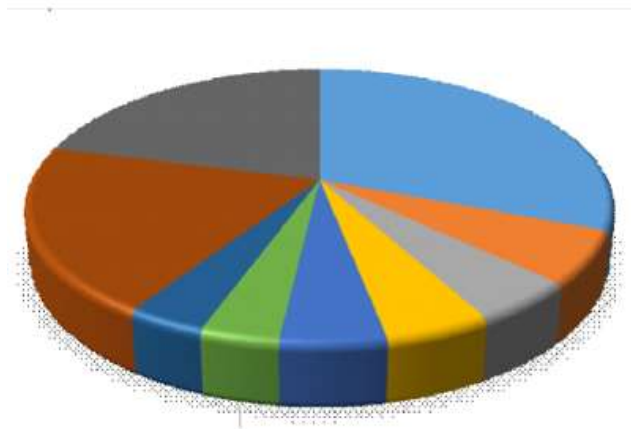




**Figure 3.** - Area-species curve in the evaluated permanent plot

### *Floristic diversity*

The Lauraceae family (25 species) is the richest in species, followed by Euphorbiaceae (five species), Melastomataceae, Clusiaceae and Rubiaceae (with four species each). The Lauraceae family is endemic to these montane forests in Peru, with greater abundance in its genera *Ocotea* and *Nectandra* (León, 2006), as well as an important presence of the Melastomataceae family in its genus *Miconia* (Ledo *et al.*, 2012) (Figure 4).



**Figure 4.** - Relative abundance of species by family in the montane cloud forest, Jaén, Cajamarca



In an evaluation carried out in the forests of Chinchiquilla, San Ignacio, montane cloud forest at 2150 masl, similar results were found in terms of the five families with the largest number of individuals such as Melastomataceae and Rubiaceae, and the mixture quotient found in this investigation. Nevertheless, a striking difference is evident because in these forests of San Ignacio the podocarp species or trees known in the area as "romerillos" stand out in number (Peña and Pariente, 2015).

Regarding the alpha diversity according to the indices analyzed, where a population would be homogeneous if the spatial distribution between species is uniform in the study area (Matteucci and Colma, 1982), the results for the present study are: Specific richness (S), with a value of 81 species; Simpson dominance index (D), with a value of 0.138; Simpson diversity index (1-D), with a value of 0.862; and the Shannon-Wiener (H) Equity Index, with a value of 2.856. Thus, considering the Shannon Index as an indicator of homogeneity of an evaluated ecosystem (Shi and Zhu, 2009), the forest evaluated in the present study showed intermediate values, similar to those found in a permanent plot installed in the same area over 2 500 m a.s.l, (Pérez, 2011), as well as similar to evaluation results in Fundo Génova, Chanchamayo, in late secondary forest type (Antón and Reynel, 2004), but lower than the values of this index (3.309) found for the forest a montane cloud forest in Chinchiquilla, San Ignacio, Cajamarca (Peña and Pariente, 2015) and lower than results from other premontane and montane forests in La Merced, San Ramón and Satipo in Peru (Marcelo-Peña and Reynel, 2014).

### Endemism

When comparing the list of species with the *Catalog of Angiosperms and Gymnosperms of Peru* (Brako and Zarucchi, 1993), six endemic species were recorded:

- *Brunelli inermis* Ruiz y Pav. (Brunelliaceae).
- *Alchornea brevistyla* Pax y Hoffman (Euphorbiaceae).
- *Mezilaurus* cf. *opaque* Kubitzki y Van der Werf (Lauraceae).
- *persea raimondii* O. Schmidt (Lauraceae).



- *Piper calvescentinerve* Trelease (Piperaceae).
- *Roupala monosperma* (Ruiz y Pav) IM Johnst. (Proteaceae).

It should be noted that individuals of *Podocarpus oleifolius* (Podocarpaceae), which is the only coniferous family native to Peru and which is typical of the cloud forests in the provinces of Jaén and San Ignacio in Cajamarca, in a permanent plot installed in the forests of Chinchiquilla in San Ignacio it was found that these Podocarpaceae (*Prumnopitys harmsiana* and *podocarpus glomeratus*) represent 12% of the total number of individuals (Peña y Pariente, 2015). These podocarps are protected in the Tabaconas Namballe National Sanctuary (TNNS) but are highly threatened by indiscriminate logging because they are a highly required timber species in the area (Elliot, 2009; Llerena *et al.*, 2010).

Likewise, the presence of an individual that in this study was preliminarily identified as a species of the genus *Talauma* (Magnoliaceae), known as "military". This was the basis for subsequent studies in the area, mainly around the town of San Luis del Nuevo Retiro, where the existence of Magnoliaceae species new to science was effectively confirmed, thus reporting two new species named *Magnolia jaenensis* and *M. manguillo*. These new species correspond to the first records of *Magnolia* in montane forests above 2 100 m a.s.l (Marcelo-Peña and Arroyo, 2013).

It is not ruled out that there could be other endemic or new species for the Peruvian flora and even for science; because several of the collected specimens were recorded without reproductive organs (flowers and/or fruits), making it difficult to identify the taxonomic specimens up to the species level.

#### *Vegetation structure (abundance, frequency and dominance)*

Of the 792 individuals registered, the five most abundant species, which represent 63 % of the total number of individuals, are *Cyathea sp.* (30.93 %), *Miconia punctata* (17.17 %), *Helicostylis towarensis* (6.69 %), *Myrcia sp.* (4.80 %) and *Hedyosmum angustifolium* (3.66 %), see details in appendix 1.



The five most abundant genera in descending order are *Cyathea* (Pteridophyta) with 245 individuals (30.93 %), *Miconia* (Melastomataceae) with 140 individuals (17.68 %), *Helicostylis* (Moraceae) with 53 individuals (6.69 %), *Myrcia* (Myrtaceae) with 42 individuals (5.30 %) and *Ocotea* (Lauraceae) with 32 individuals (4.04 %).

Likewise, the five most abundant in order are Cyatheaceae (31.31 %), Melastomataceae (18.31 %), Lauraceae (7.58 %), Moraceae (7.20 %) and Myrtaceae (5.30 %). Cyatheaceae was the most abundant family in the studied site. These families are typical of these types of montane forests in America (Ledo *et al.*, 2012; Schin-ichiro and Kitayama, 1999), even some of these are also present on other continents (Shi and Zhu, 2009).

Regarding the frequency and dominance, these variables are closely related to the abundance of the species. Table 1 shows the Importance Value Index (IVI) for the ten most important species (see details in Appendix 1), where it can be seen that only two *Cyathea sp.* and *Miconia punctata* represent close to a third of the total IV (Table 1).

**Table 1.** - Importance Value Index of the ten most important species of the forest studied

FAMILY	SPECIES	RELATIVE DENSITY	RELATIVE FREQUENCY	RELATIVE DOMINANCE	IVI
CYATHEACEAE	<i>Cyathea sp. 1</i>	30.93	7.96	19.86	58.76
MELASTOMATACEAE	<i>Miconia punctata</i> (Desr.) D. Don ex DC.	17.17	7.32	9.40	33.90
moraceae	<i>Helicostylis towarensis</i> (Klotzsch y H. Karst.) CC Berg	6.69	6.05	9.22	21.96
ANNONACEAE	<i>Guatteria dielsiana</i> RE Fr.	3.03	4.78	5.48	13.29
MYRTACEAE	<i>Myrcia sp. 1</i>	4.80	5.10	3.38	13.27
EUPHORBIACEAE	<i>Alchornea brevistyla</i> Pax y Hoffman	1.89	3.50	4.75	10.15
CECROPIACEAE	<i>Cecropia sp. 1</i>	3.16	3.18	2.96	9.30
CHLORANTHACEAE	<i>Hedyosmum angustifolium</i> (Ruiz y Pav.) Solms	3.66	4.14	1.49	9.29
CLUSIACEAE	<i>Vismia rusbyi</i> Ewan	1.89	3.82	3.03	8.74
LAURACEAE	<i>Ocotea sp. 2</i>	1.64	2.55	3.82	8.01



The notable abundance of Cyatheaceae in the studied forest is due to the fact that this family is representative of montane forests, at least 83 species of the genus *Cyathea* are recognized in Peru (Lehnert, 2011).

These results are also similar to the other studies outcomes in analogous ecosystems in the Central Peruvian Forest where there was found a dominance of genus *Miconia* of Melastomataceae (Lehnert, 2011), although in this study it is one of the most abundant families, it is much less diverse than the Lauraceae (Figure 4).

According to the analysis of Density, Frequency and Dominance of all species found in the permanent plot, the Importance Value Index (IVI) found for the first five species, in decreasing order is: *Cyathea* sp 1 (58.76), *Miconia punctata* (33.90), *Helicostylis towarensis* (21.96), *Guatteria dielsiana* (13.29) and *Myrcia* sp. (13.27).

These results differ in terms of their level of importance for the first 5 species found in the montane cloud forests in the area of San Ignacio, Cajamarca, where the *Prumnopitys species harmsiana* and *Podocarpus glomeratus* (podocarp) are the most important, followed by *Cinchona* sp., *Cecropia* sp. and *Endlicheria* sp. (Peña y Pariente, 2015). Species of the genus *Miconia* (Melastomataceae), species of the genus *Guatteria* (Annonaceae) and species of Moraceae are reported among the first 10 species of importance in montane and premontane forests in Peru (Antón and Reynel, 2004; Marcelo-Peña y Reynel, 2014; Peña and Pariente, 2015). In Appendix 1, the Importance Value Index (IVI) of all the species is shown.

#### *Dasometric and volumetric variables of the species*

Of all the individuals registered within the census, the average diameter (DBH) was 19.64 cm, with a variance of 90.5; the total basal area is 29.62 m<sup>2</sup>, the average basal area per individual is 0.037 m<sup>2</sup>, with a variance of 0.002; the total height of the trees has an average of 10.53m, with a variance of 13.86; and the commercial height has an average of 6.72 m, with a variance of 5.19. In an evaluation carried out in the same forested area but at an altitude of 2 543 m a.s.l, an average DBH of 16.44 cm was found (Pérez, 2011), which indicates that at higher altitudes the diameters of the trees reduce. While in another forest of fog in San Ignacio, Cajamarca whose permanent plot was installed at a height similar to



the present study, an average DAP of 25.20 cm was found, this greater diameter was influenced by the high presence of individuals of the Podocarpaceae family that have the highest height and diameters, since they correspond to timber forest species in the area (Peña and Pariente, 2015).

## **CONCLUSIONS**

The tropical montane cloud forests located in the upper zone of the province of Jaén have an important diversity of species, as well as endemic species and the potential to host species new to science.

Lauraceae family, with 15 recorded species, was the most diverse family in the studied forest, thus confirming that the Lauraceae are one of the most diverse families in the montane forests of Peru.

Regarding the vegetal structure of the studied forest, the high abundance of the Cyatheaceae family and, as well as the Melastomataceae family, confirms the representativeness of species of these families in montane cloud forests.

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